

07/01/98
JC587 U.S. PTO

PATENT

Docket No. 1232-446

Express Mail Label No. EE681559156

07/01/98
JC587 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and
Address(es):

Masao SUGATA, 48-8, Noukendai 6-chome, Kanazawa-ku, Yokohama-shi, Kanagawa-ken, Japan; Haruo UCHIYAMA, 7-33, Mihayama, Tsuzuki-ku, Yokohama-shi, Kanagawa-ken, Japan; Kenichi NAGASAWA, 15-1-403, Shinsaku 3-chome, Takatsu-ku, Kawasaki-shi, Kanagawa-ken, Japan; Nobukuni ROPPONGI, 1373, Kachida-cho, Tsuzuki-ku, Yokohama-shi, Kanagawa-ken, Japan; and Toru FUKUMOTO, 27-6, Moegino, Aoba-ku, Yokohama-shi, Kanagawa-ken, Japan

For: Information Processing Apparatus For And Method Of Transmitting And/Or Receiving Broadcast Signal

Enclosed are:

37 page(s) of specification, 2 page(s) of Abstract; 17 page(s) of claims
 16 sheets of drawing formal informal
 7 Page(s) of Declaration and Power of Attorney

Unsigned
 Newly Executed
 Copy from prior application

Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.
 Microfiche Computer Program (Appendix)

_____ page(s) of Sequence Listing

computer readable disk containing Sequence Listing

Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

Claim for Priority Japanese Patent Application Nos 9-178196, filed 7/3/97; 9-179553 filed on July 4, 1997; and 9-263764 filed 9/29/97

Certified copy of Priority Document(s)

English translation documents

Information Disclosure Statement

Copy of _____ cited references

Copy of PTO-1449 filed in parent application serial No._____

Preliminary Amendment

Return receipt postcard (MPEP 503)

Assignment Papers (assignment cover sheet and assignment documents)

A check in the amount of \$40.00 for recording the Assignment.

Assignment papers filed in parent application Serial No._____.

Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).

This is a continuation divisional continuation-in-part (C-I-P) of prior application serial no. _____.

Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.)

The status of the parent application is as follows:

A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.

A copy of the Petition for Extension of Time in the co-pending parent application is attached.

No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.

Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

Transfer the drawing(s) from the patent application to this application.

Amend the specification by inserting before the first line the sentence:
This is a continuation divisional continuation-in-part of co-pending application Serial No. _____ filed _____.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

					Basic Fee
	Number Filed		Number Extra	Rate	\$ 790.00
Total Claims	48	-20=	28	x\$22.00	\$ 616.00
Independent Claims	18	- 3=	15	x82.00	\$1,230.00
Multiple Dependent Claims					
	<input type="checkbox"/> yes		Additional Fee =	\$270.00	
	<input checked="" type="checkbox"/> no		Add'l Fee =	NONE	\$0

Total: \$ 2,636.00

A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.

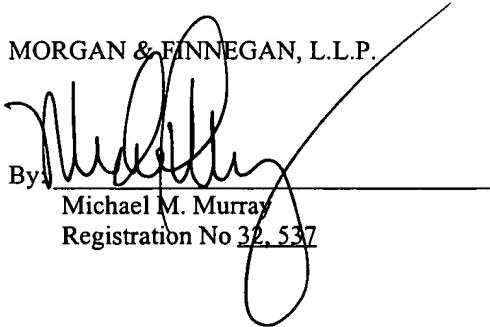
A check in the amount of \$ 2,636.00 in payment of the application filing fees is attached.

Charge Fee(s) to Deposit Account No. 13-4500. Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1232-4450 A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By 
Michael M. Murray
Registration No 32,537

Dated: July 1, 1998

CORRESPONDENCE ADDRESS:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Masao Sugata, Haruo Uchiyama, Kenichi Nagasawa, Nobukuni Roppongi and Toru Fukumoto

Serial No. : TBA Group Art Unit: TBA

Filed : Herewith (July 1 1998) Examiner: TBA

For : INFORMATION PROCESSING APPARATUS FOR AND METHOD OF TRANSMITTING AND/OR RECEIVING BROADCAST SIGNAL

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EE681559156US

Date of Deposit July 1, 1998

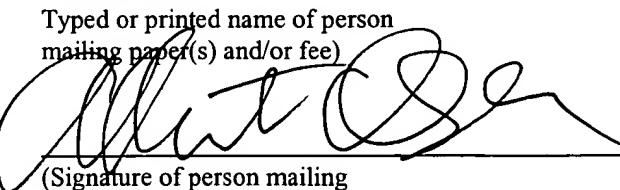
I hereby certify that the following attached paper(s) and/or fee

Application Fee Transmittal; 37 pp.. of specs., 17 pp. claims (48 TOTAL claims) and 2 p. Abstract; 16 Sheets of Formal Drawings (Figs. 1-16); 7 pp. Executed Declaration/POA Check for \$2,636.00 (filing fee); Assignment Recordation Cover Sheet w/ 2 pp. Executed Assignment Document; Check for \$40.00 (Assignment Recordal Fee) and Certificate of Express Mail (Label No. EE681559156US) and Return Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box New Application, Washington, D.C. 20231.

Albert Isles

Typed or printed name of person
mailing paper(s) and/or fee



(Signature of person mailing
paper(s) and/or fee)

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